

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
OTTO PHANSTIEL, ET AL. )  
Serial No: TBA )  
Filed: Concurrently Herewith )  
For: COMPOUNDS AND METHOD FOR ENHANCING THE EFFICACY OF ANTI-CANCER DRUGS )

INFORMATION DISCLOSURE STATEMENT

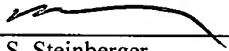
Honorable Commissioner of Patents  
and Trademarks  
Washington DC 20231

Sir:

Pursuant to the requirements of 37 CFR 1.97 and 1.98, Applicant hereby requests that the references listed in the attached form PTO-1449 be considered and made of record in the above-identified application.

Favorable consideration of the application at an early date is respectfully solicited.

Respectfully submitted,

By:   
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Date: 9/19/03

**US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**

APPLICANT: **OTTO PHANSTIEL**  
FOR: **COMPOUNDS AND METHOD FOR ENHANCING THE EFFICACY OF ANTI-CANCER DRUGS**

**LIST OF ART CITED BY APPLICANT****U.S. PATENT DOCUMENTS**

EXAMINER	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
AA	5,109,024	4/28/92	Nelikunja, Et Al.	514	674
AB	5,719,193	2/17/98	Bowlin, Et Al.	514	673
AC	5,866,613	2/2/99	Bergeron	514	674
AD	6,281,371 B1	8/28/01	Klosel, Et Al.	554	51
AE	6,319,956 B1	11/20/01	Iwata	514	674
AF	6,342,534 B1	1/29/02	Bergeron	514	673
AG	2002/0067472 A1	6/6/02	Iwata	353	122

**PATENT APPLICATION PUBLICATIONS**

NONE

**FOREIGN ART**

NONE

**OTHER ART (Including Date, Author, Title, Pertinent Pages, Etc.)**

8/1/92	Holley, Et Al.	Targeting of Tumor Cells and DNA by a Chlorambcil-Spermidine Conjugate	Pgs. 4190-4195
1995	Cullis, Et Al	Conjugation of a Polyanime to the Bifunctional Alkylating Agent Chlorambucil Does Not Alter the Preferred Cross-Linking Site in Duplex DNA	Pgs. 8033-8034
1997	Bergeron, Et Al.	A Comparison of Structure-Activity Relationships between Spermidine and Spermine Analogue Antineoplastics	Pgs. 1475-1494
8/16/00	Phanstiel, Et Al.	The Effect of Polyamine Homologation on the Transport and Cytotoxicity Properties of Polyamine - (DNA-Intercalator) Conjugates	Pgs. 5590-5599A-J
9/28/01	Wang, Et Al.	Influence of Polyamine Architecture on the Transport and Topoisomerase II Inhibitory Properties of Polyamine DNA-Intercalator Conjugates	Pgs. 3682-3691
10/2/02	Delcros, Et Al	Effect of Spermine Conjugation on the Cytotoxicity and Cellular Transport of Acridine	Pgs. 5098-5111